

Translation of „*Promotionsordnung des Fachbereichs Gesellschaftswissenschaften und Philosophie der Philipps-Universität Marburg vom 17.06.2009*“ - Only the German version of this text is legally binding. Any discrepancies or differences that may arise in the translation of the official German version are not binding and have no legal effect for compliance or enforcement purposes. The translation is intended solely as a convenience to the non-German-reading public.

The Faculty Council of the Department of Social Sciences and Philosophy of the Philipps-Universität Marburg, pursuant to Section 50, Para. 1 of the Hessian University Law as amended on 11/05/2007 (Law and Ordinance Gazette Vol. I, page 710), hereby adopts the following regulation:

**Doctoral Regulations of the Department of Social Sciences and Philosophy
of the
Philipps-Universität Marburg
from 2009**

in the version of the 1st Amendment dated 10/29/2014,
effective 07/04/2015

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Appendix: Special Provisions for Binational Doctoral Procedures

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Section 1 Scope and Objectives

(1) On the basis of the General Regulations for Doctoral Regulations at the Philipps-Universität Marburg dated November 27, 2006 (Official Gazette of the State dated 01/29/2007 (No. 5/2007), page 230) as amended, these doctoral regulations govern the procedure for obtaining the degree of a Doctor of Philosophy (Dr. phil.) in the subjects taught at the Department of Social Sciences and Philosophy.

(2) These doctoral regulations can be supplemented by a doctoral study regulation and/or structured doctoral programs if the department wants to establish a doctoral course of study and/or structured doctoral programs. In this case, the doctoral candidate will receive a detailed certificate of the additional qualifications acquired (transcript of records) after completing the procedure.

Section 2 Doctorate and Doctoral Degree

(1) The doctorate designates the special qualification for independent academic work. The verification of this qualification is provided by a written treatise (dissertation) from the respective scientific field and an oral examination (defense).

(2) The Department of Social Sciences and Philosophy of the Philipps-Universität Marburg awards the academic degree of Doctor of Philosophy (Dr. phil.) in accordance with these doctoral regulations.

(3) The doctoral subjects of the department are:

- European Ethnology / Cultural Studies
- Philosophy
- Political Science
- Religious Studies
- Sociology
- Cultural and Social Anthropology

In these subjects, a doctorate with a focus on peace and conflict research is also possible.

(4) A simultaneous doctorate at a German and foreign university (binational doctorate) is possible. Please see the appendix for a detailed explanation.

Section 3 Doctoral Committee

(1) The Doctoral Committee shall make a decision regarding acceptance as a doctoral candidate as well as supervision. The Doctoral Committee shall initiate the doctoral procedure, appoint the reviewers of the dissertation, select the board of examiners, examine the compliance with the requirements for the publication, if necessary, and serve as mediator in the event of conflicts. The Doctoral Committee must minimally include the following:

- a) the dean or his/her deputy;
- b) three members of the department's group of professors as permanent members;
- c) a member of the group of scientific members of the department that holds a doctorate; and
- d) a doctoral candidate of the department serving in an advisory capacity.

(2) The members of the Doctoral Committee shall be nominated by the representatives of the faculty council and appointed by the faculty council for a term of two years. The student body shall have the right to nominate the representative for the doctoral candidates pursuant to Para. 1 d). To ensure continuity, members shall serve overlapping terms; in this respect, a member may serve a term that deviates from the two-year term by one year.

(3) The members of the Doctoral Committee shall elect a chairperson and a deputy chairperson from

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among the group of professors. The committee may delegate individual tasks to the chairperson with the exception of decisions regarding objections. The chairperson shall report to the faculty council regarding the quantity and the results of the doctoral procedures minimally on an annual basis.

(4) The Doctoral Committee can enact implementation provisions for these doctoral regulations, which shall be published on the department website.

Section 4 Examination Board

(1) For each doctoral procedure, the Doctoral Committee shall establish an examination board that consists of four members. Due to the initiation of the doctoral procedure through the admission of the doctoral candidate pursuant to Section 9, the Doctoral Committee shall appoint

- the first reviewer;
- the second reviewer;
- the dean or his or her deputy; as well as
- an authorized examiner

as members. If a third reviewer is appointed, he or she shall not be a member of the committee. The dean or his/her deputy shall be appointed as chairperson unless he or she is a reviewer. In this case, another member of the examination board shall be appointed as chairperson.

(2) The examination board shall decide on the acceptance, modification or rejection of a dissertation, conduct the defense, decide whether the defense needs to be repeated, assess the doctoral requirements and specify the conditions for publication of the dissertation.

(3) The examination board shall conduct its deliberations and votes in closed sessions. Votes regarding the examination decisions shall take place in open sessions. Board members may not abstain from voting.

(4) Based on their research achievements, the reviewers appointed by the Doctoral Committee should have an adequate overview of the state of specialist knowledge of the field of study that is discussed in the dissertation. At least one of the reviewers must be a professor and belong to the department. Aside from the professors of the department, reviewers from the following group of persons may be appointed:

a) Professors from another department;

b) Professors emeriti/emeritae or retired professors, honorary professors, non-scheduled professors or associate professors;

c) Professors from another university or post-doctoral academics from a recognized non-university research institution with the corresponding academic qualifications;

d) Academics from a foreign university with the corresponding academic qualification and right to award doctorates there.

(5) In the case of professors emeriti/emeritae or retired professors, honorary professors, non-scheduled professors or associate professors who are not integrated into the scientific work of the subject at the Philipps-Universität Marburg, but that do have the right to award doctorates in accordance with the Higher Education Act, a professor active in the doctoral subject must serve as a second supervisor and a second reviewer.

(6) Reviewers may be nominated by the candidates. A nomination does not entitle the nominated persons to an appointment.

(7) If, pursuant to Section 4, Para. 4, Sentence 2, a reviewer moves to another university during the

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procedure, he or she shall retain the right to continue serving on the examination board. An additional professor from the department does not need to be appointed to the examination board as a result of this.

(8) In the case of a binational doctorate within the meaning of Section 2, Para. 3, at least one reviewer from each university shall be appointed.

Section 5 Acceptance as a Doctoral Candidate

(1) The Doctoral Committee shall make a decision regarding the acceptance of the doctoral candidate on the basis of a written application to the chairperson. The following required supporting documents must be attached to this application:

- a) the diploma; in case of degrees obtained from a foreign university, a certified translation in the German or English language. Degrees obtained abroad must be officially recognized as equivalent in Germany.
- b) the provisional working title of the dissertation with a brief description of the research project, including the presentation of a timetable;
- c) the doctoral subject pursuant to Section 2, Para. 3;
- d) a declaration as to whether the applicant has previously applied for acceptance as a doctoral candidate or for admission to a doctoral procedure, and a statement as to whether he or she is registering or has registered for doctoral procedures in other subject areas;
- e) a higher education entrance qualification certificate or a certificate that is recognized as equivalent;
- f) in the cases pursuant to Para. 2 c) proof of the successfully completed assessment of suitability procedure;
- g) a supervision agreement concluded between the doctoral candidate and the supervisor;
- h) acknowledgment of the procedural rules of the Philipps-Universität Marburg regarding academic misconduct.

For the application, the supervision agreement to be concluded and the recognition of the procedural rules of the Philipps-Universität Marburg regarding academic misconduct, the forms that are found on the website of the department must be used.

(2) The prerequisite for acceptance as a doctoral candidate is generally:

a) the successful completion of a course of studies at an academic university with a standard period of study of at least eight semesters (Diplom, Magister, state examination, bachelor's degree lasting eight semesters) in a field of study required for the dissertation with a final grade of at least 2.3 or 10 grade points.

or

b) a master's degree (120 credits) in a field of study required for the dissertation with a final grade of at least 2.3 or 10 grade points.

or

c) the completion of university studies in conjunction with an assessment of suitability for applicants,

- who have completed a university degree in a field of study other than that of the dissertation

or

- who have completed a bachelor's degree with less than eight semesters

or

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- who have completed a Diplom at a university of applied sciences.

The final grade should correspond to the requirements pursuant to Section 5, Para. 2 a) and b).

For applicants belonging to the group of persons under c), the assessment of suitability shall be made via two expert reports from two authorized examiners from the specialist field pursuant to Section 4, Para. 4.

(3) By accepting the doctoral candidate, the Doctoral Committee ensures the supervision and subsequent assessment of the work and shall assist the doctoral candidate in the event of unforeseeable problems within the scope of the doctorate. In particular, if the academic supervisor leaves the university, the Doctoral Committee shall endeavor to ensure the continued supervision of the dissertation in cooperation with the responsible institution.

(4) The Doctoral Committee shall make decisions regarding any requirements that must be fulfilled prior to the initiation of the doctoral procedure (submission of the dissertation). The requirements shall ensure the qualification to carry out academic work. In particular, they may extend from verifications of successful participation in courses to the taking of individual exams.

(5) Furthermore, the commitment of at least one supervisor from the department and, if applicable, further training and support commitments that are confirmed by the Doctoral Committee, shall serve as a prerequisite for acceptance as a doctoral candidate.

Section 6 Supervision of the Dissertation

(1) Dissertations are supervised by at least one authorized examiner. For professional reasons and for the quality assurance of the doctorate, additional supervisors may be designated, who may also belong to another department or another university. The supervisors must comply with the requirements placed on reviewers pursuant to Section 4, Para. 4. It must be ensured that at least one supervisor is a member of the group of professors working at the department in the doctoral subject; Section 4, Para. 5 shall apply accordingly. If the dissertation is to be prepared at an institution outside the department, the proposed supervisor and the head of the institution where the dissertation is to be prepared must confirm in writing that the relevant project can be realized.

(2) The supervising relationship begins with acceptance as a doctoral candidate pursuant to Section 5 and presupposes this.

(3) Decisions regarding a change in supervision, hiatus or termination of the doctoral candidate relationship shall be made by the Doctoral Committee. In cases of conflict, both sides shall be consulted. Reasons for the termination of the supervision relationship by the supervisor include, among other things, that a lack of sufficient progress is being made on the work.

(4) If a supervisor that is a member of the group of professors at the department in the doctoral field moves to another university, he or she retains the right to complete the supervision of the dissertation and to continue on as a member of the examination board. Furthermore, no additional supervisor needs to be involved in the supervision. Section 4, Para. 7, Sentence 2 shall apply.

(5) In general, the time between the acceptance as a doctoral candidate and the initiation of the doctoral procedure lasts no more than three years. If possible, the period that a candidate works on his or her doctorate should not exceed six years.

(6) The above provisions do not exclude the possibility of presenting a dissertation without supervision. Section 9, Para. 1 shall apply.

Section 7 The Dissertation

(1) The content of the dissertation should correlate with one of the fields of study pursuant to Section 2,

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Para. 3. As an independent work fulfilling academic requirements, it must provide a contribution to the further development of academic knowledge.

(2) The dissertation may be submitted as the work of an individual or as a result of collaborative research. If the dissertation originated from collaborative research work, the individual efforts of the applicant must be clearly demarcated and assessable.

(3) In general, the dissertation must be written in German or English. With the approval of the Doctoral Committee, it may be submitted in a different foreign language following a reasoned request. The native language of an applicant is not considered sufficient justification. A dissertation in a foreign language should be accompanied by an abstract in the German language.

(4) Works that have already been used for examination purposes will not be accepted as a dissertation. However, results of such examinations may be used for the dissertation provided that the work in question is cited in the bibliography and the text passages used are identified.

(5) The dissertation may be partially published prior to the initiation of the doctoral procedure.

Section 8 Cumulative Dissertation

(1) In exceptional cases, a cumulative dissertation is possible. Before initiating the procedure, the Doctoral Committee should obtain advisory opinions from two specialist representatives from the department. In this case, publications made in academic journals or anthologies relevant to the academic field or that have been accepted as manuscripts for publication by those journals or anthologies can be accepted as a dissertation equivalent in lieu of a dissertation. Section 7 of this regulation shall apply accordingly.

(2) In the case of cumulative dissertations, it is required that:

- the topic of the publications/manuscripts matches the name of the dissertation topic;
- the doctoral candidate has made a significant contribution to these publications/manuscripts; and
- he or she compiles a summary of publications/manuscripts in which his or her own contribution to the publications/manuscripts submitted is precisely named.

(3) In the written assessment of a cumulative dissertation, the doctoral candidate's contribution to the publications/manuscripts submitted should be considered. The reviewers must vote as to whether the publications submitted, taking into account the contributions of the co-authors in the type and scope of a dissertation, are equivalent and, in consideration of this aspect, must propose a grade.

Section 9 Submission of the Dissertation and Admission to the Doctoral Procedure

(1) The application for admission to the doctoral procedure must be submitted in writing to the chairperson of the Doctoral Committee. The following documents must be attached to the application:

a) at least three copies of the dissertation or dissertation equivalent, pursuant to Section 8, bound and furnished with the title page, which is available on the department's website.

b) a statement as to whether an attempt to obtain a doctorate has previously been made and, if so, information regarding the date, university, topic of the dissertation and the result of this attempt;

c) an affidavit that the candidate has written the dissertation he or she is submitting on his/her own and without external assistance, has not used any sources or resources other than those that are stated therein, designated all quotations that have been incorporated in full or in their spirit as such as well as that the dissertation in its current or similar form has not yet been submitted to any other domestic or foreign university for the purposes of applying for a doctorate or for other examination purposes;

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- d) a curriculum vitae containing the essential information regarding prior academic education;
- e) verification that the final examination and/or the assessment of suitability pursuant to Section 5, Para. 2 has been passed;
- f) if necessary, verifications of fulfillment of conditions pursuant to Section 5, Para. 5.

The application must be submitted using the forms available on the department website.

(2) The documents in question must be original copies or officially certified copies. In justified cases, the chairperson of the Doctoral Committee may permit that the verifications are provided in other appropriate ways.

(3) The admission to the doctoral procedure must be rejected if the required documents are incomplete, the admission requirements indicated above are not fulfilled or if the department is not responsible for the topic that the applicant is writing about.

Section 10 Assessments

(1) Each reviewer appointed by the Doctoral Committee according to Section 4, Para. 1, shall issue a written opinion on the dissertation, which will be forwarded to the chairperson of the Doctoral Committee. The assessment shall recommend either the acceptance or rejection of the dissertation or, in exceptional circumstances, recommend that it be returned for the purposes of amending or supplementing it. At the same time that a recommendation regarding the acceptance is made, the dissertation shall be graded with one of the following grades:

- A grade of 1 for very good performance
- A grade of 2 for good performance
- A grade of 3 for satisfactory performance
- A grade of 4 for sufficient performance.

A rejected thesis is given the grade of 5 (insufficient). To differentiate the assessment of the performance, the grades can be reduced or increased by 0.3. The scores 0.7, 4.3, 4.7 and 5.3 are excluded.

(2) In general, the assessments should be issued two months after the submission of the dissertation. The chairperson of the Doctoral Committee must ensure compliance with this time frame.

(3) The doctoral candidate may withdraw from the doctoral application under special justifications and the Doctoral Committee may recognize his/her reasons as long as no assessment has been issued. A revised dissertation can be presented again within six months; in this case the procedure will be continued. The Doctoral Committee may grant an extension of the deadline if there is adequate justification for this. Otherwise, the procedure shall be considered complete. In all circumstances, one copy of the submitted dissertation will remain with the doctoral records. Resubmitting the dissertation at a later date with a new and different topic will require a new procedure.

Section 11 Availability of the Dissertation for Evaluation

(1) After receipt of the written assessments, the chairperson of the Doctoral Committee shall inform all members of the Doctoral Committee and the examination board as well as all full-time professors of the department on the status of the procedure and will make the dissertation, along with the assessments, available for inspection. The right to inspect is open to all members of the department who are authorized examiners, as well as to all members of the faculty council and, in justified cases, professors in other departments. The evaluation period shall last two weeks, if possible, during the lecture period. It will be

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extended for a maximum of two additional weeks if a member of the Doctoral Committee requests the extension of the evaluation period.

(2) The professors of the department have the right to announce a special assessment in writing within the evaluation period. The assessment must be submitted within two weeks after the expiration of the evaluation period.

Section 12 Decision regarding the Acceptance of the Dissertation

(1) After the expiration of the evaluation period, the examination board shall make a decision regarding the acceptance of the dissertation on the basis of the assessments and any special assessments that may be available.

(2) The examination board may instruct the Doctoral Committee to obtain additional assessments before reaching their decision. The deadline for submission of the additional assessments is four weeks.

(3) If one of the two reviewers refuses to accept the dissertation or if there is a special assessment available, the Doctoral Committee shall appoint a third reviewer. If the third reviewer recommends accepting the dissertation, the dissertation shall be considered as accepted. If the assessments differ by more than one grade, the Doctoral Committee shall likewise appoint a third reviewer to prepare the decision.

(4) If there is no majority for accepting the dissertation among the assessments, the doctoral procedure shall end without a doctorate being awarded. The candidate may reapply for a doctorate with a new and different topic no earlier than after one year has passed.

(5) In exceptional circumstances, the examination board may decide to return the dissertation to the doctoral candidate for the purposes of amending or supplementing it within a specified period. Amendments and/or modifications as well as the set deadline shall be communicated in writing. This should not exceed one year. The deadline may be extended for special reasons. If the applicant submits the dissertation on time, it will be re-assessed and a decision shall be made on it within a period of three months pursuant to the above provisions; if he or she does not meet the deadline, the dissertation shall be considered as rejected.

(6) Upon the acceptance of the dissertation, the chairperson of the examination board will determine the date of the oral examination. The doctoral candidate has the right to inspect the assessments and special assessments in preparation for his or her examination.

(7) The grade for the evaluation of the dissertation shall arise from the mathematical mean of the assessments produced. In the case of Para. 3, Sentence 2, the median (central value) shall be generated. In the case of Para. 3, Sentence 3, the examination board shall determine the grade on the basis of the assessments.

Section 13 Oral Examination (Defense)

(1) The oral examination shall be conducted by the examination board on an individual basis for each doctoral candidate in the form of the defense.

(2) The dean, the members of the examination board and the Doctoral Committee, the members of the faculty council and all members of the group of professors of the department as well as the members of the group of academic department members who hold doctorates shall be invited to the oral examination. The date, time and place of the defense must be made public.

(3) In the case of a binational doctorate, it can be stipulated in cooperation agreements which persons from the partner university shall be invited to the defense.

(4) The chairperson of the examination board shall lead the defense. The doctoral candidate will give a

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public lecture about his or her dissertation. The lecture should last 20-30 minutes. In the subsequent discussion, the dissertation shall be publicly defended. The defense shall be based on the content of the dissertation, shall incorporate the assessments and shall also cover selected problems of the subject and related fields of study in other subjects as well as the state of the research in them. The defense should not exceed 90 minutes.

The discussion with the doctoral candidate is primarily the responsibility of the members of the examination board; in addition to these, all members of the Doctoral Committee and all members of the group of professors of the department as well as the members of the group of academic department members who hold doctorates are entitled to ask questions.

(5) In the case of a dissertation submitted in a foreign language, the lecture and defense can be made in the corresponding language if the Doctoral Committee agrees to this. In this case, the minutes must also be taken in German.

(6) Minutes, which must include a grade, must be taken by the chairperson of the examination board, or by a colleague holding a doctorate that has been assigned by him or her, regarding the course of the defense, the subject of the examination and the result of the defense. The minutes shall be signed by the members of the examination board and the keeper of the minutes, unless this person is a member of the examination board.

(7) The following shall be used as grades for the oral examination:

- A grade of 1 for very good performance
- A grade of 2 for good performance
- A grade of 3 for satisfactory performance
- A grade of 4 for sufficient performance
- A grade of 5 for insufficient performance.

To differentiate the assessment of the performance, the grades can be reduced or increased by 0.3. The scores 0.7, 4.3, 4.7 and 5.3 are excluded.

Section 14 Final Evaluation

(1) The board of examiners shall make a determination as to the result of the oral examination in a closed session immediately following the dissertation defense; if the dissertation was defended successfully, the board shall arrive at the final evaluation of the doctoral examination on the basis of the expert reports and the candidate's performance in the oral examination. The doctoral candidate can only be awarded a doctorate if the dissertation and the dissertation defense both earn at least a grade of "sufficient."

(2) The grade of the dissertation thesis shall be weighted at $\frac{3}{4}$ and that of the defense at $\frac{1}{4}$ of the final grade.

(3) When calculating the final grade, only the first digit after the decimal point shall be taken into account; all further digits after the decimal point shall be deleted without rounding. A final grade of

- "excellent" (*summa cum laude*) shall be awarded for a numerical grade of 1.0
- "very good" (*magna cum laude*) shall be awarded for a numerical grade of 1.1 - 1.5
- "good" (*cum laude*) shall be awarded for a numerical grade of 1.6 - 2.5
- "sufficient" (*rite*) shall be awarded for a numerical grade of 2.6 - 4.0

If the distinction of "excellent" is to be awarded, all individual grades must be "very good" (1.0).

(4) Based on the expert reports, the board of examiners shall determine in writing whether and, if so, which requirements must to be fulfilled prior to any publication of the dissertation.

(5) Following the session, the chairperson of the board of examiners or of the Doctoral Committee shall

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inform the doctoral candidate of the final grade and, if applicable, of any requirements and notify him or her that, pursuant to Section 2, Para. 2, the right to use an academic doctoral title shall not go into effect until after the doctorate has been in fact awarded. The doctoral candidate must be issued a provisional certificate regarding the evaluation of the dissertation thesis, the oral defense and the final evaluation.

Section 15 Examination Files

The examination files must be kept confidential and archived. During the doctoral procedure, access to the file shall be restricted to the members of the examination board and the members of the Doctoral Committee. Section 12, Para. 5 remains unaffected by this. After completing the doctoral procedure, however, no later than within one year after the doctorate has been completed, otherwise in the case of legitimate interest, the doctoral candidate, upon request, shall be granted access to the assessments, the special assessments and the minutes of the oral examination.

Section 16 Publication of the Dissertation

(1) After passing the examination, the doctoral candidate must publish the dissertation as an independent paper, taking the requirements of the examination board into consideration (Section 14, Para. 5) and disseminate this pursuant to Section 17. It may also be published as a contribution in an academic journal or in an anthology, in several parts or in an abbreviated form, containing the main results.

(2) The fulfillment of the requirements of the examination board will be reviewed by the supervisor within four weeks. If the requirements are met, the doctoral candidate shall receive an assurance from the chairperson of the Doctoral Committee that the dissertation is ready for publication.

(3) The publication must be designated as a dissertation of the Philipps-Universität Marburg. If it is published as an expanded or abridged version or if it is published only in excerpts, this must be explicitly stated. If the dissertation is reduced from its original length by more than half, then it shall be considered as published in excerpted form.

Section 17 Archive Copies

(1) The archive copies of the dissertation must be delivered to the University Library of the Philipps-Universität Marburg within one year of the oral examination. A corresponding acknowledgment of receipt from the responsible representative of the University Library regarding the delivery of the archive copies must be issued to the chairperson of the Doctoral Committee. Alternatively, the doctoral candidate can provisionally present to the chairperson of the Doctoral Committee a publishing contract for publication within a period of two years. The confirmation of receipt and/or the publishing contract is a prerequisite for the transfer of the doctoral certificate. Following a reasoned request, the chairperson of the Doctoral Committee may extend the deadline by a maximum of one year, respectively. If, after expiration of the deadline, the doctoral candidate culpably fails to comply with a reasonable grace period set by the Doctoral Committee, the rights acquired through obtaining the doctorate shall terminate. The same applies if the doctoral student does not fulfill the requirements under Section 14, Para. 5.

(2) The archive copies must be designated as a dissertation through the title page, which is available on the department website. The title page must indicate the topic of the dissertation, the department and the university, the name and place of birth of the doctoral candidate, their academic degree obtained up to that point, the titles and names of the reviewers, the submission and examination date, the place and year of publication as well as their university identification number.

(3) In addition to the copy required for the Department Library, four copies of the dissertation must be delivered to the University Library free of charge. These copies must be printed on age-resistant paper and bound in a durable binding. In addition, the distribution must be ensured via:

a) verification of distribution through a commercial publisher in the book trade with a minimum print run of 150 printed copies or in the publishing on demand procedure; or

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b) verification of the publication in an academic journal with a minimum circulation of 150 copies or in electronic edition; or

c) the delivery of an electronic version whose data format and data media must be coordinated with the University Library; or

d) the delivery of 50 duplicate copies in a self-published letterpress or photo printing process.

In the case c), the doctoral candidate shall transfer the right to produce and distribute additional copies of his or her dissertation to Philipps-Universität Marburg. He or she furthermore transfers the right to the university to make the dissertation accessible in academic networks.

Section 18 Awarding of the Doctorate

(1) Once the archive copies of the dissertation have been submitted or the publishing contract has been presented, the doctorate will be completed via the delivery of the doctoral certificate by the dean or vice dean. As of this point in time, the doctoral candidate has the right to use the academic title pursuant to Section 2. Para. 2.

(2) If the delivery of the doctoral certificate is made provisionally on the basis of a publishing contract, the archive copies of the dissertation must be submitted no later than after two years pursuant to Section 17. Section 16, Para. 1, Sentences 5 and 6 shall apply accordingly.

(3) In the case of a binational doctorate within the meaning of Section 2, Para. 3, additional prerequisites for the completion of the doctorate, in addition to those specified in Para. 1, may be specified in a cooperation agreement.

Section 19 Repeating the Doctoral Process

(1) If the first attempt at obtaining a doctorate has failed due to rejection of the dissertation, the candidate is only permitted to apply again once and must present a new dissertation. This may occur no earlier than after one year calculated from the day of the rejection; this shall also apply if the first application failed at another university. A new dissertation presupposes that its core content is significantly different from the core content of the rejected dissertation.

(2) In the event that a candidate fails the defense of the dissertation (oral examination), this may be repeated once no later than one year after the failed examination. In special cases, the deadline may be extended upon the request of the doctoral candidate.

(3) If the doctoral requirements become obsolete due to failure to meet a deadline or other violations of these doctoral regulations, the Doctoral Committee shall decide whether and under what conditions the doctorate can be repeated. The doctorate cannot be repeated if the doctoral candidate has had the doctoral degree revoked pursuant to Section 22.

Section 20 Doctoral Requirements in the Event of Illness, Disability or Family Burdens

If a doctoral student credibly demonstrates via a medical certificate that he or she is unable to complete the examination in the stipulated form in full or in part due to illness or disability, the chairperson of the Doctoral Committee must permit the doctoral candidate to complete the doctoral requirements in an extended examination period. This also applies in cases of verification of providing necessary care to a close relative. The same applies to the group of persons pursuant to Section 3 and Section 6 of the Maternity Protection Act. Maternity protection periods as well as periods of parental leave must be taken into account.

Section 21 Doctoral Certificate, Doctoral Transcript

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(1) The doctoral certificate is dated on the day of the oral examination and produced in triplicate. It bears the signatures of the dean of the department and the president of the Philipps-Universität Marburg and is furnished with the seal of the university in the version applicable for the department. Upon request, it shall also be issued in English. The text of the doctoral certificate is:

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Philipps-Universität Marburg

CERTIFICATE

During the term of the president.....
and the dean.....
the Department of Social Sciences and Philosophy awards.....
through this certificate to
Mr./Ms./Mrs.....
born on..... in
the academic degree of
doctor of (Dr.)
in the subject of.....
after he/she has demonstrated in a proper doctoral procedure
under the participation of the reviewer.....
through his/her dissertation.....
and through the oral examination (defense)
his/her academic qualification. The overall assessment is
.....

Marburg, (date).....

The President
(Seal)

The Dean

(2) In the case of an institutionalized and/or structured doctoral program (see Section 1, Para. 2), a doctoral transcript will be issued in addition to the doctoral certificate.

(3) In the event of a binational promotion within the meaning of Section 2, Para. 4, different arrangements may be made pursuant to the cooperation agreement of Para. 1.

Section 22 Refusal or Revocation of the Doctoral Degree

(1) If it is determined prior to the delivery of the doctoral certificate that the applicant has attempted or committed deception or other serious academic misconduct in the course of fulfilling his or her requirements in the doctoral procedure, the completion of the doctorate shall be refused. The decision shall be made by the Doctoral Committee.

(2) After delivery of the doctoral certificate, the doctoral degree may be revoked if it emerges that it was acquired through deception or through other serious academic misconduct. Furthermore, the statutory provisions shall apply. The decision shall be made by the faculty council.

(3) Before the decision on the refusal or revocation of the doctoral degree is made, the person in

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question shall be given the opportunity to comment on the allegations made against him/her within a reasonable period of time.

Section 23 Honorary Doctorate

(1) The department may award an honorary doctorate (Dr. phil. h. c.) to individuals who have made outstanding academic achievements in the fields of study for which the department is responsible or who have otherwise made extraordinary contributions to science.

(2) Before the procedure is initiated, an agreement shall be reached with the steering committee (President's Office). The procedure shall be initiated if at least three quarters of the members of the faculty council who are entitled to vote approve a corresponding motion.

(3) Following the initiation of the procedure, the faculty council shall set up a committee with the dean serving as chairperson. The committee shall develop a written opinion regarding the academic achievements of the proposed doctoral candidate.

(4) On the basis of the report by the committee, the Doctoral Committee shall make a decision regarding the honorary doctorate. The honorary doctorate shall be awarded if at least three quarters of the members of the faculty council who are entitled to vote approve a corresponding motion. The faculty council shall issue a laudatory speech.

(5) The honorary doctorate is completed via the presentation of a certificate produced for this occasion, which shall also contain the text of the laudatory speech. This certificate should be presented to the recipient of the honorary doctorate by the dean of the department.

Section 24 Transitional and Final Provisions

(1) These doctoral regulations come into effect on the day following their publication in the Official Bulletin of the Philipps-Universität Marburg. At the same time, the doctoral regulations of the Department of Social Sciences and Philosophy dated 06/17/2009, which came into effect on 04/15/2010 (Official Bulletin of Philipps-Universität Marburg No. 11/2010), shall be repealed.

(2) The doctoral candidates already accepted prior to these doctoral regulations coming into effect may terminate their doctoral procedure pursuant to the provisions previously in effect.

Marburg, 10/29/2014

The Dean of the Department of Social Sciences and Philosophy

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Appendix

Special Provisions for Binational Doctoral Procedures

(1) For the implementation of binational doctoral procedures, the provisions of these doctoral regulations shall apply unless otherwise specified below. Under the joint supervision of the work of professors of the Department of Social Sciences and Philosophy and of a foreign scientific university (hereinafter “University”), regular doctoral procedures can be implemented jointly by the Department of Social Sciences and Philosophy with the competent organizational unit of such a university pursuant to the respective national law, if

1. for the doctorate pursuant to foreign law, minimally the submission of a dissertation and an oral examination (dissertation defense) are required;
2. an agreement, approved by the Department of Social Sciences and Philosophy, has been made between Philipps-Universität Marburg and the foreign university. The agreement should contain provisions regarding, in particular, which of the two universities is responsible in each individual case or in a majority of comparable cases for the proper implementation of the procedure (leadership role) as well as details regulating the joint supervision and registration as a doctoral candidate;
3. the applicant has completed relevant professional studies at an academic university with a degree or an examination, according to which he or she is entitled to a doctorate at the Philipps-Universität Marburg or at the foreign university that is involved in the supervision.

The jointly implemented doctoral procedure is concluded with the awarding of a joint doctoral degree.

- (2) The work of the candidate on his or her dissertation shall be supervised by at least one professor in the Department of Social Sciences and Philosophy and one professor in the relevant department of the participating foreign university. The assessment of the dissertation shall be carried out by this professor.
- (3) If the national language at the foreign university is not German, the dissertation may be submitted in that language, provided that a summary of the dissertation is simultaneously presented in German. Pursuant to Para. 1, Sentence 2, No. 2 of the agreement, the doctoral candidate may be exempted from the requirement stated in Sentence 1 and/or another language may be specified for the dissertation. This applies for the oral examination accordingly. The oral examination will take place at the leading university.
- (4) If the Department of Social Sciences and Philosophy of the Philipps-Universität Marburg has the leadership role, the department shall appoint an examination board pursuant to Section 4 of these doctoral regulations. The examination board must consist of the two supervisors and at least one other specialist representative from the participating universities as members. It is important to ensure the equal involvement of the foreign university and the Philipps-Universität Marburg. If important reasons exist that prevent the possibility of equal involvement, the votes of the members of the examination board shall be weighted accordingly so that an equal participation of both universities/departments is ensured.
- (5) Both the dissertation and the performance of the oral examination shall be accepted by the examination board by express decision. These votes must include the approval of the representative

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of the other university, respectively. If a representative of a participating university does not approve, the procedure leading to the awarding of a joint doctoral degree is terminated. The doctoral procedure will be continued by the department of the university whose representative in the examination board assessed the doctoral requirements as having been successfully completed.

- (6) The doctoral certificate must bear the seal of the two departments and/or universities involved. The implementation of a binational doctoral procedure as well as the name of the leading department or of the leading university must be clearly stated on the certificate. If, pursuant to the national regulations of the foreign university, the doctoral certificate is issued by the state, a doctoral certificate from Philipps-Universität Marburg may also be issued. In this case, the state-issued foreign certificate and the German certificate must contain a notice that each of the two documents applies only in conjunction with the other and that the doctoral degree has been awarded on the basis of a binational doctoral procedure.
- (7) After successful completion of the doctoral procedure, the doctoral candidate is entitled either to take the title “Dr. phil.” or the equivalent foreign title. The doctoral degree obtained in accordance with the above provision shall be considered as a national doctoral degree and does not require further approval for its use in the Federal Republic of Germany.
- (8) With respect to the revocation of the doctoral degree acquired in a binational doctoral procedure, the lead department or the lead university shall make a decision after consulting with the participating department or university.