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## Model selection for the extraction of EMG synergies

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## Introduction

It has been shown that the electromyographic (EMG) patterns underlying complex movements can be approximated by the combinations of a small number of muscle synergies [1, 2]. Different definitions of muscle synergies have been given, which translate into either synchronous or non-instantaneous generative models [3]. However, how to choose a specific model and how to identify its parameters, such as the number of synergies, still remain open questions [4]. For this study we extracted different kinds of synergies from simulated EMG data sets with known statistical properties. We then applied a series of model selection criteria to discriminate the actual synergistic models underlying the ground-truth data sets and to identify the actual number of synergies. We could in this way compare model selection performance across the different criteria.

## Methods

### Models of muscle synergies and synthetic EMG data sets

- Synchronous synergies
- Temporal synergies
- Time-varying synergies (TV)

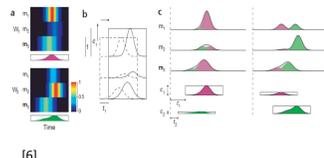
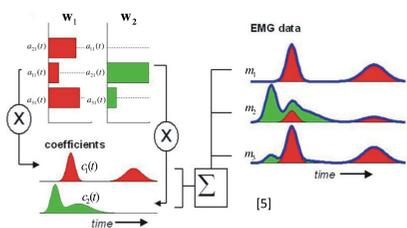
$$\mathbf{m}(t) = \sum_{j=1}^N \mathbf{w}_j \cdot c_j(t)$$

$$m_i(t) = \sum_{j=1}^N a_{ij} \cdot c_j(t)$$

$$\mathbf{m}(t) = \sum_{j=1}^N c_j \cdot \mathbf{w}_j(t - \tau_j)$$

$$m, c, a, w \geq 0$$

$$m, c, w \geq 0$$



For each synergistic organization we generated 20 artificial EMG data sets. Temporal waveforms generated starting from renewal stochastic processes. Weighting coefficients and temporal delays withdrawn from random exponential distributions. Data were generated by combining  $N = 4$  artificial synergies according to the models above. Each data set consisted in the simulated activity of 10 muscles collected during 25 trials.  $T = 100$  time samples. Data corrupted with signals dependent noise ( $R^2 = 0.85$ , between noisy and noiseless data).

### FADA algorithm

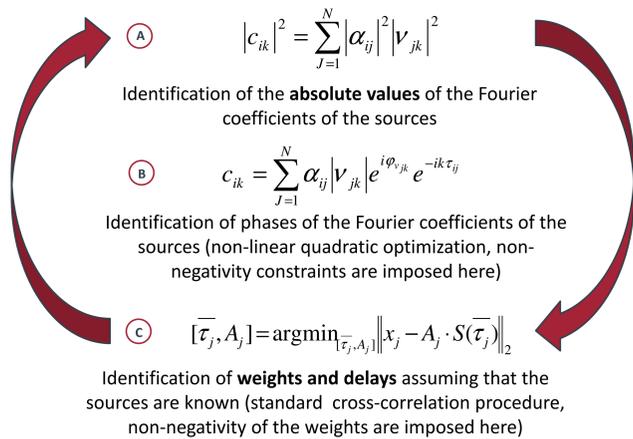
All definitions of primitives can be derived from a unique generative model, usually referred to as **anechoic mixture model**

$$x_i(t) = \sum_{j=1}^N \alpha_{ij} \cdot s_j(t - \tau_{ij})$$

They differ from each other only by additional constraints imposed on the parameters of the model (e.g. non-negativity or equality constraints, presence of delays). We started from previous work developed in our lab [7] to design a new and more efficient algorithm for the identification of motor synergies based on Fourier series decomposition of the EMG signals[8-10]. Approximating the signal and the delayed sources by truncated Fourier series we obtain

$$x_i(t) = \sum_{k=-M}^M c_{ik} e^{ikt} \quad s_j(t - \tau_{ij}) = \sum_{k=-M}^M v_{jk} e^{-ik\tau_{ij}} e^{ikt}$$

where  $M$  is an integer and  $c_{ik}$  and  $v_{jk}$  belong to the complex space. The last equations allows to derive the following iterative EM algorithm



### Model selection criteria

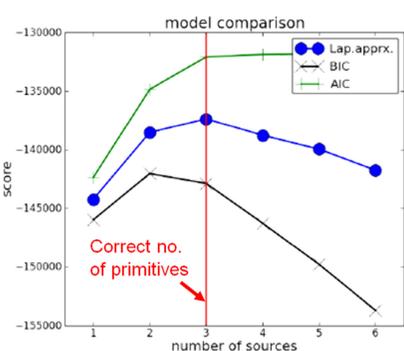
Development of a new Bayesian criterion [4] based on Laplace approximation (LAP) for:

- Model selection
- Estimation of model complexity (# of primitives)
- Most likely type of smoothness prior
- Non-negativity is imposed with a rectifying function  $y = \frac{1}{\alpha} \log(1 + e^{\alpha y})$

Log likelihood of data (Laplace approximation):

$$\log(p(D|S, M)) \approx \log(p(D|\Theta^*, S, M)) + \log(p(\Theta^*|S, M)) + \frac{F}{2} \log(2\pi) - \frac{1}{2} \log(|H|)$$

Example: estimate of complexity

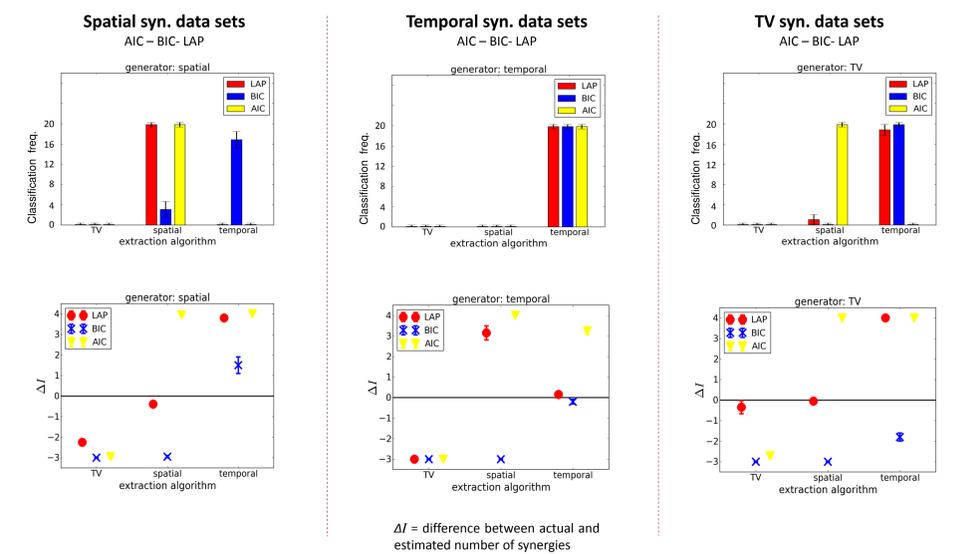
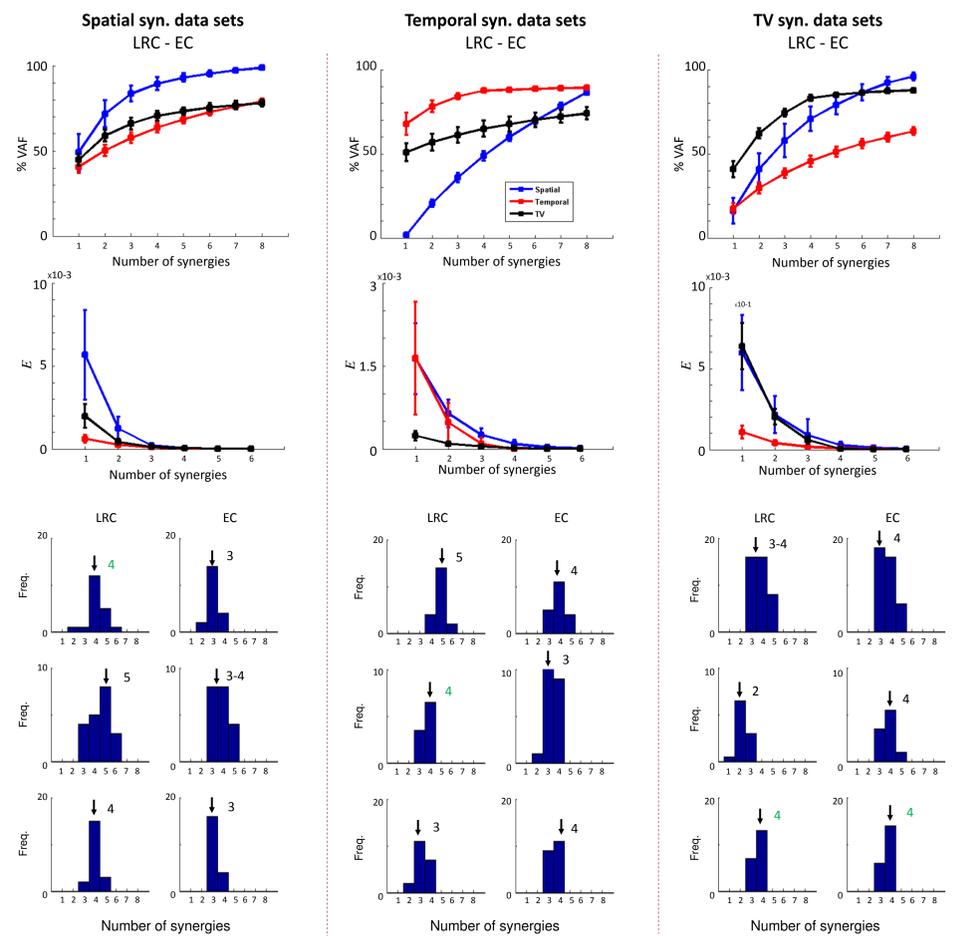


$D$ : data  
 $S$ : number of primitives  
 $M$ : model type (PCA, ICA, Anechoic, ...)  
 $\Theta$ : parameter vector  
 $F$ : number of estimated parameters  
 $H$ : Hessian (from Laplace approximation)

## Additional model selection criteria

- Linear Regression Criterion (LRC): Linear regression procedure to identify the value of  $N$  above which the VAF curve is essentially straight. A series of linear regressions is performed, starting from a regression on the entire VAF curve and progressively removing the smallest  $N$  value from the regression interval. The mean square residual errors of the different regressions are then compared and the optimal number of synergies  $N^*$  is selected as the first  $N^*$  value corresponding to a regression line from  $N$  to  $N_{max}$  with a mean square error  $< E_{min}, E_{min} = 1 \times 10^{-4}$ .
- Elbow Criterion (EC): Approximation of the curve indicating the amount of variance accounted for (VAF) with 2 line segments. Position of the 'elbow' determined by the minimum least square error computed over all  $N$  values.
- Akaike Information Criterion:  $AIC = -2(\log(p(D|\Theta^*, S, M))) - \frac{1}{2} \dim(\Theta)$
- Bayesian Information Criterion:  $BIC = -2(\log(p(D|\Theta^*, S, M))) - \frac{1}{2} \dim(\Theta) \log(N)$

## Results



## Conclusions

### MODEL SELECTION

- The graphs of the VAF provide information about the generative model underlying a given EMG data set
- AIC and LAP provide reliable model selection performance when the modular organizations underlying the EMG data sets rely on either spatial or temporal synergies.

### DETERMINATION OF THE NUMBER OF SYNERGIES

- Once the underlying model is chosen LRC can always identify, on average, the exact number of synergies. EC revealed less reliable than LRC
- LAP always outperformed AIC and BIC and provides the right number of synergies

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